



*Cañada College • College of San Mateo • Skyline College*

**PREQUALIFICATION QUESTIONNAIRE FOR  
DESIGN-BUILD SERVICES**

**ATTACHMENT 1**

**For**

**RFSOQ 86734**

**Cañada Building 23, New Math, Science, and Technology Building  
Cañada College, Redwood City**

**Department of General Services**

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**I. INFORMATION FOR APPLICANTS**

This document is the San Mateo County Community College District's Prequalification Questionnaire, Attachment 1 to the Request for Statement of Qualifications, regarding the Design-Build Entity selection for the project to be located at Cañada College, 4200 Farm Hill Boulevard, CA 94061.

This document also contains the San Mateo County Community College District's Prequalification Rating System of Design-Build Entity Applicants for the project to be procured under procurement number 86734 ("Project").

**A. GENERAL BACKGROUND AND EXPLANATION OF THE PREQUALIFICATION RATING SYSTEM.**

For the Project, the District has established the following Prequalification Rating System for evaluating the qualifications of Design-Build entities to determine such entities' prequalification and ranking status, and thereby become eligible to submit a proposal to design and construct the Project.

The Prequalification Rating System is based on a series of questions comprised of five (5) sections:

- I. Information for Applicants**
- II. Information about Design-Build Entity & Team Members**
- III. Essential Requirements for the Design-Build Entity**
- IV. General Qualifications – DBE Team Member Questions**
  - A. General Contractor**
  - B. Architect**
  - C. Engineer(s) of Record**
  - D. Other Specialty Trade Contractors**
- V. Project-Specific Qualifications Category**

**Section I**, this section, provides general information regarding the structure and content of this document.

**Section II** requires general information to be provided about the Design-Build Entity ("DBE") and is not scored.

**Section III** contains twenty-six (26) questions, but it is not scored. An Applicant either "passes" or "fails" based upon the answers the Applicant provides in response to the questions.

**Section IV** contains individual questions for the DBE Team Members: General Contractor, Architect, Engineer(s) of Record, and proposed Specialty Trade Contractor(s) that are scored and summarized to determine if an Applicant meets the minimum general qualifications on a pass/fail basis. A score of a

minimum percentage of the total points available for each section are required for Applicants to pass and go on to be scored in the Project Specific Qualification Categories outlined in Section 11 of the Request for Statement of Qualifications (RFSOQ) document. Scores for this Section IV do not carry forward in this evaluation.

**Section V** is scored separately based on an Applicant’s responses to the questions therein.

The numerical scoring for Section V is then used to determine the ranking of the Applicants (prequalified in Sections III and IV) to be used in determining the group of Applicants who will be identified as “Finalists”. None of the numerical scoring in this RFSOQ process is carried forward into the subsequent RFP process.

Additionally, the District has determined that certain qualification criteria are more important than other criteria and as such the total points scored in Section V are given a “weighting” to arrive at a total score as indicated in the table below.

<b>Project-Specific Qualification Categories</b>	<b>Points</b>
Key Personnel	100
Design-Build Team Project Experience	100
School Campus Project Approach	100
DSA Experience	100
Project Specific Requirements	100
Schedule	50
Applicant’s Unique Qualifications	50
Applicant’s Strategies for Working in the Bay Area	50
Response was clear, concise and responsive	25
<b>Total Maximum Points Available</b>	<b>675</b>

**II. INFORMATION ABOUT DESIGN-BUILD ENTITY & TEAM MEMBERS**

*(Information only – Not Scored)*

**A. Definitions**

**Design-Build** (“DB”) – A form of contract and procurement wherein there is a single contract for both the design and construction of a project by the Design-Build Entity where the risk of loss for errors, omissions and conflicts in the construction documents is shifted contractually and by statute to the Design-Build Entity.

**Design-Build Entity** (“DBE”) – The single entity that will sign a contract with the District and be responsible for delivering a complete project including design, construction, project management, liability and other insurance, bonding, and all other necessary items for a complete, approved “turn-key” project.

**Design-Build Entity Team Member** (“DBE Team Member”) – The Design-Build Entity (General Contractor), or a subconsultant or subcontractor of any tier to the Design-Build Entity.

“Associates” shall mean any of the following:

- a) The current qualifiers for all current Contractors State License Board contracting licenses held by the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member).
- b) All current officers of the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member), if it is a corporation.
- c) All current partners of the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member), if it is a partnership.
- d) All current joint ventures of the General Contractor (or, Design-Build Entity Member where the question asks about a Design-Build Entity Member), if it is a joint venture.

“General Contractor” shall mean the general contractor, holding a current, valid, Class “A” general engineering, or “B” general building contractor’s license in good standing, that will assume responsibility for the subcontracting, management, supervision and administration of the construction for the Project as part of the DBE Team.

“Architect of Record” shall mean the licensed architect that is a part of the DBE Team whose stamp will appear on Project Construction Documents.

“Design Firm” shall mean, in the case where the Architect of Record is not an individual doing business as a sole proprietorship, the firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Architect of Record.

“Engineer(s) of Record” means the professional(s) providing professional services in a specific engineering discipline, that is a part of the DBE Team, and whose stamp will appear on the Project Construction Documents.

“Engineer Firm” shall mean, in the case where the Engineer(s) of Record is not an individual doing business as a sole proprietorship, the Engineer Firm (whether a sole proprietorship, corporation, partnership or other association) which employs the Engineer(s) of Record.

“Specialty Trade Contractor (or Subcontractor)” shall mean, a person or entity that has a direct contract with Design-Build Entity either to perform a portion of the Work at the Site, or to perform some or all of the Services. The term “Subcontractor” is referred to throughout the Contract Documents as if singular in number and neutral in gender and means a Subcontractor or an authorized representative of the Subcontractor. The term “Subcontractor” does not include a separate contractor or subcontractors of a separate contractor.

**B. General Information about the DBE Team**

**I. Design-Build Entity Contact Information**

General Contractor Name: \_\_\_\_\_

Design-Build Entity Contact Person: \_\_\_\_\_

Design-Build Entity Address: \_\_\_\_\_  
\_\_\_\_\_

Office Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_ Mobile Phone: \_\_\_\_\_

**Design-Build Entity Team Members**

<b>List the following individuals and legal entities that are Team Members of the Design- Build Entity. (Attach an additional page if necessary in order to respond to a Question.)</b>		
<b>Discipline</b>	<b>Name</b>	<b>Legal Entity (i.e. corporation, partnership, sole proprietor, etc.)</b>
General Contractor		
Architect		
Engineer(s) of Record: _____		

For each Design-Build Team Member listed above that is not a sole proprietorship or corporation (such as, but not limited to, a partnership or other association), please provide a copy of the agreement creating the partnership or other association.

**2. General Contractor**

Provide the following information about the General Contractor proposed by the Applicant. If Applicant will serve as the General Contractor, then provide all information requested for the Applicant itself. Attach additional pages if necessary in order to respond to a Question. This section is not scored.

Name of General Contractor: \_\_\_\_\_

Date of company formation or incorporation: \_\_\_\_\_

State of formation or incorporation: \_\_\_\_\_

How many persons does the General Contractor currently employ? \_\_\_\_\_

If the General Contractor is a corporation, provide the following information for each office of the corporation and each individual who owns 10% or more of the corporate stock:

<b>Position</b>	<b>Name</b>	<b>Years with Co.</b>	<b>% Ownership</b>
CEO			
President			
Secretary			
Treasurer/CFO			
Others (Title)			

If the General Contractor is an individual doing business as a sole proprietorship, please complete the following:

Name of Owner	Years as Owner

If the General Contractor is a joint venture, partnership, limited liability company, or other association, provide the following for each member of the joint venture, each partner or other association member. (Attach additional pages if necessary.)

Name of Individual Or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

a) Has there been any change in ownership of the General Contractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

- Yes                       No

*If "yes," explain on a separate page.*

b) Is the General Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

- Yes                       No

*If "yes," explain on a separate page.*

c) State the General Contractor's gross revenues for each of the last three years:

2013: \_\_\_\_\_                      2014: \_\_\_\_\_                      2015: \_\_\_\_\_

d) List all California contractor license numbers, classifications and expiration dates currently held by the General Contractor:

License Number	Trade Classification	Date Issued	Expiration Date

e) Has the General Contractor changed names or license numbers in the past five (5) years?

- Yes                       No

*If “yes,” explain on a separate page.*

f) Has any owner, CSLB qualifier or corporate officer of the General Contractor operated as a contractor under any other name or license number in the last five years?

- Yes                       No

*If “yes,” explain on a separate page.*

**4. Architect of Record**

Provide the following information for the Architect of Record:

Name: \_\_\_\_\_

License Number: \_\_\_\_\_

Years in Practice: \_\_\_\_\_

If the Architect of Record or its Firm is different from that of the General Contractor, please answer the following:

Date of company formation or incorporation: \_\_\_\_\_

State of formation or incorporation: \_\_\_\_\_

How many persons does the Architect of Record or its Firm currently employ? \_\_\_\_\_

If the Architect of Record’s Firm is a corporation, provide the following information for each officer of the corporation.

<b>Position</b>	<b>Name</b>	<b>Years with Co.</b>	<b>% Ownership</b>
CEO			
President			
Secretary			
Treasurer/CFO			
Others (Title)			

If the Architect of Record is an individual doing business as a sole proprietorship, complete the following:

<b>Owner</b>	<b>Years as Owner</b>

If the Architect of Record’s Firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member. (Attach additional pages if necessary)

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership /Other Association	% Ownership Interest

- a) Has there been any change in ownership of the Architect of Record’s Firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

- Yes                       No

*If “yes,” explain on a separate page.*

- b) Is the Architect of Record’s Firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of the Firm holds a similar position in another firm.)

- Yes                       No

*If “yes,” explain on a separate page.*

- c) Has the Architect of Record’s Firm changed names in the past five years?

- Yes                       No

*If “yes,” explain on a separate page including reason for change.*

Provide the following information for all known Architects who will be designing the project:

(Attach additional pages if necessary)

Architect	License Number	Years in Practice

**5. Engineer(s) of Record**

Provide the following information for each Engineer of Record proposed for prequalification:

Name: \_\_\_\_\_

License Number: \_\_\_\_\_

Engineering Discipline: \_\_\_\_\_

Years in Practice: \_\_\_\_\_

If the Engineer(s) of Record or its Firm is different from that of the General Contractor or Architect of Record, please answer the following:

Date of company formation or incorporation: \_\_\_\_\_

State of formation or incorporation: \_\_\_\_\_

How many persons does the Engineer(s) of Record or its Firm currently employ? \_\_\_\_\_

If the Engineer(s) of Record's firm is a corporation, provide the following information for each officer of the corporation and individuals who own 10% or more of the corporate stock.

<b>Position</b>	<b>Name</b>	<b>Years with Co.</b>	<b>% Ownership</b>
CEO			
President			
Secretary			
Treasurer			
Others (Title)			

If the Engineer(s) of Record is an individual doing business as a sole proprietorship, complete the following:

<b>Owner</b>	<b>Years as Owner</b>

If the Engineer(s) of Record’s firm is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, each partner or each other association member. (Attach additional pages if necessary)

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership/ Other Association	% Ownership Interest

- a) Has there been any change in ownership of the Engineer(s) of Record’s Firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

- Yes                       No

*If “yes,” explain on a separate page.*

- b) Is the Engineer(s) of Record’s Firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your Firm holds a similar position in another firm.)

- Yes                       No

*If “yes,” explain on a separate page.*

- c) Has the Engineer(s) of Record’s Firm changed names in the past five years?

- Yes                       No

*If “yes,” explain on a separate page including reason for change.*

**III. ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY**

This Section III is the first step in scoring the Design-Build Entity. It seeks information about various members of the Design-Build Entity, and consists of questions that must be answered correctly or the Design-Build Entity will be disqualified.

The Applicant will be **IMMEDIATELY DISQUALIFIED** if the answer to **ANY** of questions one (1) through fifteen (15) is “No.”

- (1) General Contractor and proposed Specialty Trade Contractor(s) possesses a valid and current California Contractor’s license that would allow it to build the projects?  
 Yes       No
- (2) Do all architects and engineers of record who are expected to work on the project possess current California professional licenses for the services which they intend to provide?  
 Yes       No
- (3) Do all Design-Build Entity Members (contractors, architects, engineers, specialty trade contractors.) have a general liability insurance policy with a policy limit of at least: \$1,000,000 per occurrence and \$2,000,000 aggregate from a California admitted company.  
 Yes       No
- (4) Are the General Contractor and Architect of Record covered by professional liability insurance policies (“errors and omissions”) with a policy limit of at least \$5,000,000 per occurrence and \$5,000,000 in the aggregate that provides coverage for work on design-build contracts?  
 Yes       No
- (5) Is the Engineer(s) of Record and other proposed Engineers covered by a professional liability insurance policy (“errors and omissions”) with a policy limit of at least \$2,000,000 per occurrence and \$2,000,000 aggregate from a California admitted company that provides coverage for work on design-build contracts?  
 Yes       No
- (6) Do all Design-Build Entity Members (contractors, architects, engineers, specialty trade contractors) have a current workers’ compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.  
 Yes       No      - OR -  
 Contractor    Architect    Engineer    Other: \_\_\_\_\_  
is/are exempt from this requirement, because it has no employees.

**NOTE: For questions 3 through 6 above, provide copies of most current certificates of insurance for evidence of all required insurance coverages for each Design-Build Entity member.**

(7) Is the General Contractor’s experience modification rate (EMR) for the most recent three-year period an average of 1.00 or less? List EMR for last three years below:

Yes       No

Year:     2015                    EMR: \_\_\_\_\_  
Year:     2014                    EMR: \_\_\_\_\_  
Year:     2013                    EMR: \_\_\_\_\_

**Provide a letter from surety validating General Contractor’s Intrastate EMR for California, for the most recent premium year. The letter from the surety must reference Applicant’s Worker’s Compensation Insurance Rating Bureau (“WCIRB”) identification number. All EMRs will be verified using this number.**

(8) Is the General Contractor’s average lost work day rate for the most recent three year period 2 or less? If the General Contractor’s average lost work day rate (LWR) is a three year average exceeding 2 the General Contractor will be disqualified.

Yes       No

Year:     2015                    Lost Work Day Rate: \_\_\_\_\_  
Year:     2014                    Lost Work Day Rate: \_\_\_\_\_  
Year:     2013                    Lost Work Day Rate: \_\_\_\_\_

(9) Does the General Contractor and proposed Specialty Trade Contractors have an injury prevention program instituted pursuant to Section 3201.5 or 6401.7 of the California Labor Code

Yes       No

(10) Has a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California been attached, which states that the General Contractor’s current bonding capacity is sufficient for the projects for which you seek prequalification? (Statement must be from the surety company, not an agent or broker.)

Yes       No

(11) Is it true that no Officer or Director of General Contractor has ever filed for bankruptcy or been forced into bankruptcy by his or her creditors?

Yes       No

(12) Does the General Contractor and proposed Specialty Trade Contractors know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8,

section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?

- Yes       No

- (13)** Does an agreement exist with the General Contractor and a registered apprenticeship program, approved by the California Apprenticeship Council, which has graduated apprentices in each of the immediately preceding five years, and/or will General Contractor ensure that all subcontractors possess such an agreement?

- Yes       No

(This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprentice craft in the five years before enactment of the act adding this section, January 1, 2003)

- (14)** The General Contractor and proposed Specialty Trade Contractor(s) acknowledge that Labor Code § 1725.5 states, in pertinent part that: “A contractor shall be registered pursuant to this section to be qualified to bid/propose on, be listed in a bid/proposal, subject to the requirements of Section 4104 of the Public Contract Code, or engage in the performance of any public work contract that is subject to the requirements of this chapter.”; and that the Contractor is or will be so registered with the Department of Industrial Relations at the time the Contractor submits its bid/proposal for the projects that are the subject of this procurement.

- Yes       No

- (15)** The General Contractor and proposed Specialty Trade Contractor(s) acknowledge that Labor Code §§ 1725.5 and 1771.1(a) states, in pertinent part that: “A contractor or subcontractor shall not be qualified to bid/propose on, be listed in a bid/proposal, subject to the requirements of Section 4104 of the Public Contract Code, or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Section 1725.5.”; and that the Contractor will not list any subcontractors in its bids/proposals for the projects that are the subject of this procurement unless such subcontractors are registered with the Department of Industrial Relations.

- Yes       No

**The applicant will be IMMEDIATELY DISQUALIFIED if the answer to ANY of questions sixteen (16) through twenty-six (26) is “Yes.” If the answer to question twenty (20) and/or twenty-one (21) is “Yes,” and if the ineligibility and/or debarment periods is/are over at the time of SOQ submission, this will not automatically disqualify an applicant from submitting for prequalification status.**

**(16)** Has the General Contractor’s license been revoked or suspended at any time in the last five (5) years?

- Yes       No

**(17)** Has any professional license held by any architect who will provide services been revoked at any time in the last five years?

- Yes       No

**(18)** Has any professional license held by any engineer who will provide services been revoked at any time in the last five years?

- Yes       No

**(19)** Has a surety firm completed a contract or paid for completion of a contract on behalf of any Design-Build Entity Member because they were defaulted and/or terminated by the project owner within the last five (5) years?

- Yes       No

**(20)** Has General Contractor’s firm ever been ineligible to bid on or be awarded a public works contracts, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

If yes, check only one box below

- Yes       Yes (but periods are over)       No

If the answer is “Yes (but periods are over),” state the beginning and ending dates of the ineligibility period. \_\_\_\_\_

**(21)** Has the General Contractor’s firm ever been debarred by any governmental entity for any reason? If yes, check only one box below:

- Yes       Yes (but periods are over)       No

If the answer is “Yes (but periods are over)” state the beginning and ending date of ineligibility period and the reason(s) for debarment. Use additional pages if needed.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**(22)** Has any Design-Build Entity Member (contractors, architects, engineers, etc.) or any of its Associates, or any of their employees ever been convicted of a crime involving the awarding of a contract for a government construction project, or the bidding or performance of a government contract within the last five (5) years?

Yes       No

**(23)** Has any Design-Build Entity Member (contractors, architects, engineers, etc.) or any of its Associates ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes       No

**(24)** Has any Design-Build Entity Member (contractors, architects, engineers, etc.) or any of its Associates ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes       No

**(25)** Has any Design-Build Entity Member (contractors, architects, engineers, etc.) or any of its Associates ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes       No

**(26)** In the last five years, have any assets of the General Contractor been frozen and/or attached by any governmental entity?

Yes       No

**IV. GENERAL QUALIFICATION – DBE TEAM MEMBER QUESTIONS**

**PASS/FAIL (based on cumulative scoring of each question)**

**A. Team Member Questions for the General Contractor**

**YOU MUST RESPOND TO EACH QUESTION**

There are a maximum of 179 points available for this Section. To pass this General Qualification Section, the General Contractor must score a minimum of 75% of the maximum points. A score of less than 75% of the maximum points means that Applicant has failed this Section, does not qualify, and may not proceed further.

**HISTORY OF THE BUSINESS; ORGANIZATIONAL STRUCTURE; AND PERFORMANCE**

**Provide the following information:**

- (1) Three (3) years of most recent audited or reviewed financial statements including balance sheet and income statement Please fill in the following blanks based on the General Contractor’s most current attached audited financial statement:

Current Assets:	\$ _____
Current Liabilities:	\$ _____
(1) Total Net Worth	\$ _____
(2) Current Ratio (Assets/Liabilities)	_____
(3) Working Capital (Current Assets - Current Liabilities)	\$ _____

**NOTE: The audited or reviewed financial statements must be submitted simultaneously with your SOQ as a separate file/document by the SOQ due date and time. Only one copy, placed in a sealed envelope labeled “Confidential,” is required:**

<i>Net Worth</i>		<i>Current Ratio</i>		<i>Working Capital</i>	
<input type="checkbox"/> <\$4M	<i>0 points</i>	<input type="checkbox"/> <1.0	<i>0 points</i>	<input type="checkbox"/> <\$3M	<i>0 points</i>
<input type="checkbox"/> \$4M-\$12M	<i>5 points</i>	<input type="checkbox"/> 1.0-1.15	<i>5 points</i>	<input type="checkbox"/> \$3M-\$10M	<i>5 points</i>
<input type="checkbox"/> \$12-\$20M	<i>10 points</i>	<input type="checkbox"/> >1.15	<i>10 points</i>	<input type="checkbox"/> \$10M-\$15M	<i>10 points</i>
<input type="checkbox"/> >\$20M	<i>15 points</i>			<input type="checkbox"/> >\$15M	<i>15 points</i>

- (2) How many years has your organization been in business in California as a contractor under your present business name and license number? \_\_\_\_\_ Years

- |  |                 |
|--|-----------------|
| <input type="checkbox"/> 3 years or less | <i>2 points</i> |
| <input type="checkbox"/> 4 years         | <i>3 points</i> |
| <input type="checkbox"/> 5 years         | <i>4 points</i> |
| <input type="checkbox"/> 6 or more years | <i>5 points</i> |

- (3) Is your firm currently the debtor in a bankruptcy case?  
 Yes      0 points       No      3 points
- (4) Was your firm in bankruptcy at any time during the last five (5) years?  
 Yes      0 points       No      3 points
- (5) Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five (5) years?  
 Yes      0 points       No      5 points
- (6) Has the General Contractor or any of its Associates ever been terminated for public default on a construction contract?  
 Yes      0 points       No      5 points

**NOTE: “was associated” or “associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to any of the following questions on this form:**

- (7) In the last five (5) years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?  
 Yes      0 points       No      5 points
- (8) In the last five (5) years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?  
 Yes      0 points       No      5 points

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

- (9) At any time in the last five (5) years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner? If so, how much was paid out?  
 \$ \_\_\_\_\_  
 Yes      0 points       No      5 points  
 Yes      2 points (less than \$50K liquidated damages assessed)

If yes, explain on a separate page, identifying all such projects by owner, owner’s address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages





**COMPLIANCE WITH OCCUPATIONAL SAFETY AND HEALTH LAWS;**

(18) How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

- 
- 3 points for once per week or more
  - 0 points less than once per week

(19) Within the last five (5) years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state approved self-insurance? If so, more than once? \_\_\_\_\_

- 5 points for no instances

**NOTE: The following questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.**

(20) In the past five (5) years have any complaints been **filed or adjudicated in court or in arbitration proceedings against** your firm concerning your firm’s work on a construction contract?

- Yes (see points totals below)  No 5 points

If “yes,” on a separate page identify the complaints(s) by providing the project name, date of the complaint, name of the plaintiff, the name of the entity the complaint was filed against, a brief description of the nature of the complaint, the court and case number, and a brief description of the status of the complaint (pending or, if resolved, a brief description of the resolution).

Points:

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

(21) In the past five (5) years has your firm **filed or adjudicated** a complaint **in court or arbitration proceedings** against a project owner concerning work on a project or payment for a contract

- Yes (see points totals below)  No 5 points

If yes, on a separate page identify the complaint(s) by providing the contract name, date of the complaint, name of defendant [or entity against whom the complaint was filed], a brief description of the complaint, the court in which the case was filed and a brief description of the status of the complaint or, if resolved, the resolution.

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(22)** In the last 5 years, have any claims either in excess of \$250,000 or in excess of 10% of the original contract amount been made against your firm concerning your firm’s work on a construction contract?

- Yes *(see points totals below)*  No 5 points

If yes, on a separate page identify the amount of each claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(23)** In the last 5 years, has your firm filed or made any claims either in excess of \$250,000 or in excess of 10% of the original contract amount against a project owner concerning work on a project or payment for a contract?

- Yes *(see points totals below)*  No 5 points

If yes, on a separate page identify the amount of each claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(24)** In the last 5 years has your firm filed a government claim/tort claim (pursuant to Government Code Sections 910 et seq.) or filed a complaint or initiated arbitration against an owner?

- Yes *(see points totals below)*  No 5 points

If yes, attach a separate page in the ‘Litigation Details’ attachment, identifying the amount of each claim, the name and telephone number of the owner, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

(25) Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five (5) years? If so, how many times? \_\_\_\_\_

**NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.**

- Yes *(see points totals below)*  No 5 points
- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

(26) Has the Federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five (5) years? If so, how many times? \_\_\_\_\_

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

- Yes *(see points totals below)*  No 5 points
- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

(27) Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five (5) years? If so, how many times? \_\_\_\_\_

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

- Yes *(see points totals below)*  No 5 points

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

If “yes,” attach a separate signed page describing each citation.

(28) Has there been more than one occasion during the last five (5) years in which your firm was required to pay either back wages or penalties for your own firm’s failure to comply with the state’s prevailing wage laws? If so, how many times? \_\_\_\_\_

**NOTE: This question refers only to your own firm’s violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.**

- Yes (see points totals below)  No 5 points
- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

(29) Does the General Contractor operate its own State-approved apprenticeship program? If “yes,” provide the following information on a separate page:

- Yes 5 points  No 0 points

- a.) Identify the craft or crafts in which you provided apprenticeship training in the past year.
- b.) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- c.) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

(30) At any time during the last five years, has the General Contractor or any of its Associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

- Yes (see points totals below)  No 5 points
- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

If “yes,” provide the date of the findings and attach a copy of the final decision.

\_\_\_\_\_   
Date of Findings

**B. Team Member Questions for the Architect of Record**

**YOU MUST RESPOND TO EACH QUESTION**

There are a **maximum of 36 points** available for this Section. To pass this General Qualification Section, the Architect must score a **minimum of 50% of the maximum points**. A score of less than 50% of the maximum points means that the Architect has failed this Section, does not qualify, and may not proceed further.

Name of Architect of Record: \_\_\_\_\_

Name of Design Firm: \_\_\_\_\_

**Please answer the following questions as indicated:**

**General**

**(1)** How many years has the Architect of Record been licensed and practicing in California?

Years: \_\_\_\_\_ CA License: \_\_\_\_\_

- 3 years or less                      2 points
- 4 years                                      3 points
- 5 years                                      4 points
- 6 or more years                      5 points

**Financial ability to perform the work required**

**(2)** Is the Architect or Record or the Design Firm currently the debtor in a bankruptcy case?

- Yes                      0 points
- No                      3 points

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

**(3)** Was the Architect of Record or Design Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question B-2, above)

- Yes                      0 points
- No                      3 points

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number

Bankruptcy Court

Date Filed

**History of Performance**

- (4) In the last five years has the Architect of Record or the Design Firm, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes      0 points       No      5 points

If “yes,” explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

- (5) Has the Architect of Record or the Design Firm ever been denied an award of a public works contract based on a finding by a public agency that they were not properly qualified?

Yes      0 points       No      5 points

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

- (6) In the past five years has any claim in excess of \$25,000 been filed in court or arbitration against the Architect of Record or the Design Firm concerning its architectural work on a project for which they were found at fault?

Yes      0 points       No      5 points

If “yes,” on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

- (7) In the past five years has the Architect of Record or the Design Firm, made any claim in excess of \$25,000 against a project owner concerning its architectural work on a project and filed that claim in court or arbitration?

Yes      0 points       No      5 points

If “yes,” on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- (8) In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Architect of Record or the Design Firm, based on non-payment or losses?

Yes      0 points       No      5 points

If “yes,” on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

**Distance to site (not scored)**

- (9) List the proximity (statute miles) of the Architect’s office(s) where the work will be performed, in relation to the project location.

Architect’s production office: Statute miles: \_\_\_\_\_  
Years in this location: Years: \_\_\_\_\_

- (10) Has the Architect of Record ever designed a project for the San Mateo County Community College District?

Yes  No

If “yes,” indicate the following (list no more than 3 projects):

Project Name(s) \_\_\_\_\_  
Project Description \_\_\_\_\_  
Year Completed \_\_\_\_\_

**C. Team Member Questions for the Engineer(s) of Record**

**YOU MUST RESPOND TO EACH QUESTION**

**Provide the following information for each Engineer of Record proposed for prequalification. There are a maximum of 36 points available for this Section. To pass this General Qualification Section, the Engineer(s) of Record must score a minimum of 50% of the maximum points. A score of less than 50% of the maximum points means that the Engineer(s) of Record has failed this Section, does not qualify, and may not proceed further. (All other design specialists not listed as engineer(s) of record, that are a part of the DBE Team, must be properly licensed for this Project in their discipline but need not complete this part of the questionnaire.)**

Name of Engineer(s) of Record: \_\_\_\_\_

Name of Firm: \_\_\_\_\_

Discipline: \_\_\_\_\_

**Please answer the following questions as indicated:**

**General**

**(1) How many years has the Engineer(s) of Record been licensed and practicing in California?**

Years: \_\_\_\_\_ CA License: \_\_\_\_\_

- 3 years or less                      2 points
- 4 years                                      3 points
- 5 years                                      4 points
- 6 or more years                      5 points

**Financial ability to perform the work required**

**(2) Is the Engineer(s) of Record or Engineer Firm currently the debtor in a bankruptcy case?**

- Yes                      0 points                       No                      3 points

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

**(3) Was the Engineer(s) of Record or Engineer Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question C-2, above)**

- Yes                      0 points                       No                      3 points

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____	_____	_____
Case Number	Bankruptcy Court	Date Filed

**History of Performance**

(4) In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Engineer(s) of Record or the Engineer Firm concerning its engineering work on a project?

Yes      0 points       No      5 points

If “yes,” identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

(5) In the last five years has the Engineer(s) of Record or the Engineer Firm been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

Yes      0 points       No      5 points

If “yes,” explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

(6) Has the Engineer(s) of Record or the Engineer Firm ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

Yes      0 points       No      5 points

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(7) In the past five years has the Engineer(s) of Record or the Engineer Firm made any claim in excess of \$25,000 against a project owner concerning engineering work on a project and filed that claim in court or arbitration?

Yes      0 points       No      5 points

If “yes,” on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

(8) In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Engineer(s) of Record or the Engineer Firm based on non-payment or losses?

Yes      0 points       No      5 points

If “yes,” on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

**Distance to site (not scored)**

- (9) List the proximity (statute miles) of the Engineer(s) of Record’s office(s) where the work will be performed, in relation to the project location.

Engineer(s) of Record’s production office:

Statute miles: \_\_\_\_\_

Years in this location:

Years: \_\_\_\_\_

- (10) Has the Engineer(s) of Record ever designed a project for the San Mateo County Community College District?

Yes

No

If “yes,” indicate the following (list no more than 3 projects):

Project Name(s) \_\_\_\_\_

Project Description \_\_\_\_\_

Year Completed \_\_\_\_\_

**D. Team Member Questions for the Specialty Trade Contractor(s)**

**YOU MUST RESPOND TO EACH QUESTION**

Provide the following information for each Specialty Trade Contractor proposed for prequalification. There are a maximum of 124 points available for this Section. To pass this General Qualification Section, the Specialty Trade Contractor must score a minimum of 75% of the maximum points. A score of less than 75% of the maximum points means that the Specialty Trade Contractor has failed this Section, does not qualify, and may not proceed further.

**HISTORY OF THE BUSINESS; ORGANIZATIONAL STRUCTURE; AND PERFORMANCE**

Provide the following information (*Questions one (1) through five (5) are not scored*):

Name of Specialty Trade Contractor: \_\_\_\_\_

Specialty Trade: \_\_\_\_\_

Date of company formation or incorporation: \_\_\_\_\_

State of formation or incorporation: \_\_\_\_\_

How many persons does the Specialty Trade Contractor currently employ? \_\_\_\_\_

If the Specialty Trade Contractor is a corporation, provide the following information for each office of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer/CFO			
Others (Title)			

If the Specialty Trade Contractor is an individual doing business as a sole proprietorship, please complete the following:

Name of Owner	Years as Owner

If the Specialty Trade Contractor is a joint venture, partnership, limited liability company, or other association, provide the following for each member of the joint venture, each partner or other association member. (Attach additional pages if necessary.)

Name of Individual Or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

(1) Has there been any change in ownership of the Specialty Trade Contractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

- Yes                       No

*If "yes," explain on a separate page.*

(2) Is the Specialty Trade Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

- Yes                       No

*If "yes," explain on a separate page.*

(3) State the Specialty Trade Contractor's gross revenues for each of the last three years:

2013: \_\_\_\_\_ 2014: \_\_\_\_\_ 2015: \_\_\_\_\_

(4) List all Specialty Trade license numbers, classifications and expiration dates currently held by the Specialty Trade Contractor:

License Number	Trade Classification	Date Issued	Expiration Date

d) Has the Specialty Trade Contractor changed names or license numbers in the past five (5) years?

- Yes
- No

*If “yes,” explain on a separate page.*

(5) Has any owner, CSLB qualifier or corporate officer of the Specialty Trade Contractor operated as a contractor under any other name or license number in the last five years?

- Yes
- No

*If “yes,” explain on a separate page.*

(6) How many years has your organization been in business in California as a contractor under your present business name and license number? \_\_\_\_\_ Years

- 3 years or less                      2 points
- 4 years                                      3 points
- 5 years                                      4 points
- 6 or more years                      5 points

(7) Is your firm currently the debtor in a bankruptcy case?

- Yes                      0 points
- No                      3 points

(8) Was your firm in bankruptcy at any time during the last five (5) years?

- Yes                      0 points
- No                      3 points

(9) Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five (5) years?

- Yes                      0 points
- No                      5 points

(10) Has the Specialty Trade Contractor or any of its Associates ever been terminated for default on a public construction contract?

- Yes                      0 points
- No                      5 points

**NOTE: “was associated” or “associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to any of the following questions on this form:**

(11) In the last five (5) years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

- Yes                      0 points
- No                      5 points

**(12)** In the last five (5) years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

- Yes      *0 points*       No      *5 points*

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

**(13)** At any time in the last five years has the Specialty Trade Contractor or any of its Associates been assessed liquidated damages of more than \$50,000 on a public construction contract?

- Yes      *0 points*       No      *5 points*
- Yes      *2 points (less than \$50K liquidated damages assessed)*

If yes, explain on a separate page, identifying all such projects by owner, owner’s address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

**(14)** In the past ten (10) years has any litigation or claim been filed against the Specialty Trade Contractor? If so, how many claims? \_\_\_\_\_

- Yes      *0 points*       No      *5 points*
- Yes      *2 points (if only 1 claim)*

**(15)** In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? If so, how many instances? \_\_\_\_\_

- Yes      *3 points for 1-2 instances*       No      *5 points*
- Yes      *0 points for more than 2 instances*

**(16)** Bonding capacity: Provide documentation from your surety identifying the following:  
Name of Bonding Company/Surety  
Name of Surety Agent, address and telephone number.

- 5 points information provided*
- subtract 5 points if all information is not provided*

**(17)** List all other sureties (name and full address) that have written bonds for your firm during the last five (5) years, including the dates during which each wrote the bonds:

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- 5 points information provided*       *0 points no information provided*

**(18)** Is the Specialty Trade Contractor’s experience modification rate for the most recent three-year period an average of 1.25 or less? List EMR for last three years below

Yes      5 points       No      0 points

Year:      2015      EMR: \_\_\_\_\_

Year:      2014      EMR: \_\_\_\_\_

Year:      2013      EMR: \_\_\_\_\_

**(19)** Is the Specialty Trade Contractor’s average lost work day rate for the most recent three year period less than the applicable statistical standards for its business category? If the Specialty Trade Contractor’s average lost work day rate (LWR) is a three year average exceeding the applicable statistical standards for its business category the Specialty Trade Contractor may be disqualified.

Yes       No

Year:      2015      Lost Work Day Rate: \_\_\_\_\_

Year:      2014      Lost Work Day Rate: \_\_\_\_\_

Year:      2013      Lost Work Day Rate: \_\_\_\_\_

**COMPLIANCE WITH OCCUPATIONAL SAFETY AND HEALTH LAWS;**

**(20)** How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

\_\_\_\_\_

3 points for once per week or more

0 points less than once per week

**(21)** Within the last five (5) years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state approved self-insurance? If so, more than once? \_\_\_\_\_

5 points for no instances

**(22)** Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five (5) years? If so, how many times? \_\_\_\_\_

**NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.**

Yes      (see points totals below)       No      5 points

for 0-1 instances      5 points

if 2 instances      3 points

if more than 2 instances      0 points

**(23)** Has the Federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five (5) years?  
If so, how many times? \_\_\_\_\_

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

- Yes (see points totals below)  No 5 points
- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(24)** Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five (5) years? If so, how many times?  
\_\_\_\_\_

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

- Yes (see points totals below)  No 5 points
- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

If “yes,” attach a separate signed page describing each citation.

**(25)** Has there been more than one occasion during the last five (5) years in which your firm was required to pay either back wages or penalties for your own firm’s failure to comply with the state’s prevailing wage laws? If so, how many times? \_\_\_\_\_

**NOTE: This question refers only to your own firm’s violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.**

- Yes (see points totals below)  No 5 points
- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(26)** Does the Specialty Trade Contractor operate its own State-approved apprenticeship program? If “yes,” provide the following information on a separate page:

- Yes 5 points  No 0 points

a.) Identify the craft or crafts in which you provided apprenticeship training in the past year.

b.) State the year in which each such apprenticeship program was approved, and attach



- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(30)** In the last 5 years, have any claims either in excess of \$250,000 or in excess of 10% of the original contract amount been made against your firm concerning your firm’s work on a construction contract?

- Yes (see points totals below)  No 5 points

If yes, on a separate page identify the amount of each claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(31)** In the last 5 years, has your firm filed or made any claims either in excess of \$250,000 or in excess of 10% of the original contract amount against a project owner concerning work on a project or payment for a contract?

- Yes (see points totals below)  No 5 points

If yes, on a separate page identify the amount of each claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**(32)** In the last 5 years has your firm filed a government claim/tort claim (pursuant to Government Code Sections 910 et seq.) or filed a complaint or initiated arbitration against an owner?

- Yes (see points totals below)  No 5 points

If yes, attach a separate page in the ‘Litigation Details’ attachment, identifying the amount of each claim, the name and telephone number of the owner, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

- for 0-1 instances 5 points
- if 2 instances 3 points
- if more than 2 instances 0 points

**E. CERTIFICATION**

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of **each** of the entities (or persons) that is a constituent member of the Design-Build Entity that is the Applicant seeking to be prequalified by the DISTRICT. Make additional copies of this certification page as needed to provide certification for each such person or legal entity.

I, the undersigned \_\_\_\_\_, certify and declare that I have read all the foregoing answers to this Pre-Qualification Questionnaire; that all responses are correct and complete of my own knowledge and belief. I further declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Printed name)

\_\_\_\_\_  
(Place of Execution)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Name of the entity (or person), that is a constituent member of the Design-Build Entity, on whose behalf this Certificate is signed

**END PREQUALIFICATION QUESTIONNAIRE**